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PTO/SB/21 (08-00)
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## TRANSMITTAL **FORM**

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Total Number of Pages in This Submission

Application Number	09/584,045		
Filing Date	May 30, 2000		
First Named Inventor	Andrew Hausman		
Group Art Unit	3624		
Examiner Name	Sandra S. Snapp		
Attorney Docket Number	3524/14		

		ENCLOS	URES (check	all that apply)	
Fee Transmittal Form	1	Assignment (for an Appli		After Allowance Communication to Group	
Fee Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Licensing-re	lated Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to C		Proprietary Information	
Affidavits/ded	claration(s)	Petition to C Provisional	Application	Status Letter	
Extension of Time R	equest	Change of C Address	orney, Revocation correspondence	Other Enclosure(s) (please identify below):	
Express Abandonmo	ent Request	Terminal Dis		Supplemental Response and Second Supplemental Response to October 1, 2003 Office Action; and Return	
Information Disclosu	ire Statement	CD, Numbe	r of CD(s)	postcard	
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Response to under 37 CFF				APR 2 7 200 GROUP 36	
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Firm Frank J. DeRosa, Reg. No. 26,543  or Individual name Brown Raysman Millstein Felder & Steiner LLP					
Individual name					
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Date	4-2	3-04			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Andrew Hausman

Application No.:

09/584,045

Filed:

May 30, 2000

For:

**ELECTRONIC TRADING SYSTEM FOR ELECTRICITY** 

**FORWARDS** 

Examiner:

Sandra S. Snapp

**Group Art Unit:** 

3624

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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FIFTH INFORMATION DISCLOSURE STATEMENT

GROUP 3600

Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. Enclosed are an Information Disclosure Citation List, and a copy of each document listed therein, The Examiner is requested to consider the enclosed documents, make them of record, and indicate her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation List to Applicant's undersigned attorney.

Please charge the fee of \$180 required by 37 C.F.R. §§ 1.97(c) &1.17(p) to Deposit Account 02-4270. Please charge any additional fee required to consider this Information Disclosure Statement or to continue prosecution of this application to Deposit Account 02-4270.

Dated: April 23, 2004

Respectfully submitted,

Frank J. DeRøsa Reg. No. 26,543

**BROWN RAYSMAN MILLSTEIN** 

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known			
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Examiner Name	Sandra S. Snapp		
Attorney Docket Number	3524/14		

				U.S. PATENT DOCU	JMENTS	
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent I	Oocument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,818,450		Katsuta	10/06/1998	
		5,959,621		Nawaz, et al.	09/28/1999	
		6.047.274		Johnson	. 04/04/2000	
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	FOREIGN PATENT DOCUMENTS							
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Initials	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	1e
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

PTO/SB/08B (08-00)

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	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		8 pages from HoustonStreet.com dated 8/22/99 (http://home.houstonstreet.com/fulltours/sections/022.htm, - /050.htm, - /070htm, - /080htm, -/081htm, - /083htm and /120 htm)		
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